

PATENT



(Practitioner's Docket No. IN-5574)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

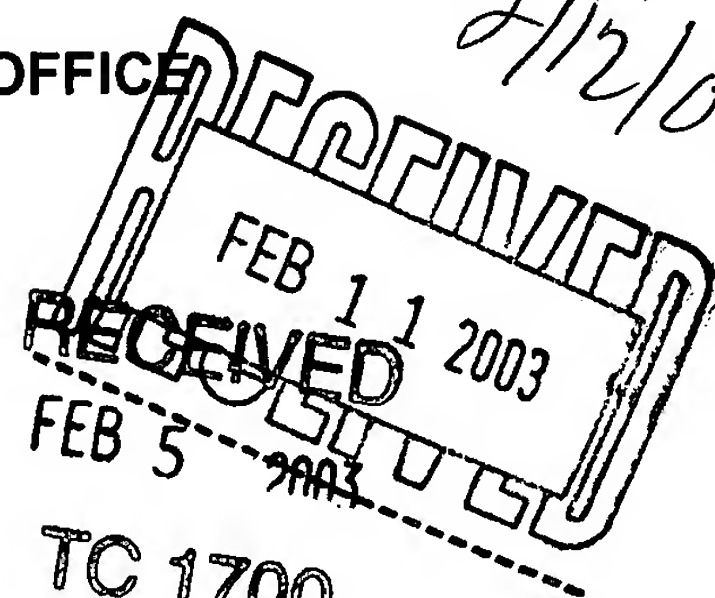
Craig J. MCCLANAHAN

Serial No.: 10/065,705

Filed: 11/12/2002

Group Art Unit: 1762

Examiner: Not Assigned



For : ELECTRONIC DISPLAY OF AUTOMOTIVE COLORS

Assistant Commissioner of Patents
Washington, D.C. 20231

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)

I hereby certify that the attached correspondence comprising:

X	Information Disclosure Statement
X	From PTO-1449
X	Cited References

is being deposited with the United States Postal Service, with sufficient postage, as first class mail in an envelope addressed to:

Assistant Commissioner for Patents
Washington, D.C. 20231

on January 29, 2003

signature

Marjorie Ellis

(type or print name of person certifying)

(Certificate of Mailing under 37 CFR 1.8(a) [8-2])



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FEB 5 2003

TC 1700

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Commissioner of Patents
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INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Applicant(s) submit(s) herewith patents, publications, or other information, of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose in accordance with 37 C.F.R. §1.56.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made (37 C.F.R. 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists. The filing of this Information Disclosure Statement shall not be construed as an admission against interest in any manner.

☒ Submitted herewith is Form PTO-1449 (Modified) listing patents, publications, or other information for consideration by the Office.

COPIES PROVIDED:

☒ Legible copies of all items listed on Form PTO-1449 accompany this information statement, except as indicated below:

☐ Under 35 U.S.C. §120, this application relies on the earlier filing date of prior application Serial No. [____], filed on [____]. The following references were submitted to and/or cited by the Office on this prior application and are therefore not required to be provided in this application:

☐ In accordance with 37 C.F.R. §1.98(c), a copy of only [____] is being submitted with this Information Disclosure Statement, and is cumulative of the following patents or publications listed on Form PTO-1449:

FOREIGN LANGUAGE DOCUMENTS

☐ There are no foreign language documents listed on Form PTO-1449.

02/04/2003 ANAB11 00000066 233425 10065705

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Information Disclosure Statement

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- ☐ The following non-English language references were cited in the specification. The English language equivalents for these references are being submitted in substitution for the non-English references.
- ☐ English language abstract for the following non-English references are enclosed.
- ☐ Submitted herewith is an English translation of the following foreign language patents, publications or information or of portions of those patents, publications or information considered to be material:
- ☐ No English language translations of the foreign language patents, publications or information or parts thereof are readily available, except for those listed above.
- ☐ The following foreign language documents submitted are believed to be the equivalent or substantial equivalent of the English language documents identified below, which are also submitted herewith:
- ☐ Submitted herewith is an English language version of a search report listing information not in the English language and indicating the degree of relevancy found by the European Patent Office, in satisfaction of the requirement (under 37 C.F.R. §1.98(a)(3)) for a concise explanation of the relevance of non-English information.
- ☐ A concise explanation of the relevance of the following listed non-English language information is included as presently understood by the individual designated in 37 C.F.R. §1.56(c) most knowledgeable about the content of the information as set forth below:
- ☐ A concise explanation of the relevance of the following listed non-English language information is set forth in the above-identified specification:

CERTIFICATION:

- ☐ The Information Disclosure Statement transmitted herewith is being filed **within** three (3) months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office Action on the merits, whichever event occurs last. 37 C.F.R. §1.97(b). Accordingly, it is believed that no certification or fee is due.
- ☒ The Information Disclosure Statement transmitted herewith is being filed **after** three (3) months of the filing date of the application or the date of entry into the national stage of an international application or after the mailing date of the first Office Action on the merits, whichever event occurred last but **before** the mailing date of either a final action under 37 C.F.R. §1.113 or a Notice of Allowance under 37 C.F.R. §1.311, whichever occurs first. Accordingly, I hereby certify that:
- ☐ Each statement of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of the statement; 37 C.F.R. §1.97(e)(1); **OR**

Information Disclosure Statement
Page 3

- ☐ That no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge as the person signing this certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three (3) months prior to the filing of this statement; 37 C.F.R. §1.97(e)(2); **OR**
- ☒ Applicant elects the option to pay the fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under 37 C.F.R. §1.97(c). Please charge the **\$180.00** IDS submission fee to BASF Corporation **Deposit Account No. 23-3425**. A duplicate of this paper is enclosed.
- ☐ The Information Disclosure Statement transmitted herewith is being filed **After a Final Action** under 37 C.F.R. §1.113, or **After a Notice of Allowance** under 37 C.F.R. §1.311, whichever occurs first, but **Before Payment of the Issue Fee**. Accordingly, I hereby certify that:
- ☐ Each statement of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of the statement; 37 C.F.R. §1.97(e)(1); **OR**
- ☐ That no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge as the person signing this certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three (3) months prior to the filing of this statement; 37 C.F.R. §1.97(e)(2); **AND**
- ☐ Applicant hereby petitions for consideration of this Information Disclosure Statement; 37 C.F.R. §1.97(d)(2)(ii). Please charge the petition fee of **\$130.00** to BASF Corporation **Deposit Account No. 23-3425**. A duplicate of this paper is enclosed.

The Commissioner is authorized to charge BASF Corporation Deposit Account No. 23-3425 for any additional fees due hereunder.

Reg. No.: **33,772**


SIGNATURE OF ATTORNEY

Anne Gerry Sabourin

Type or print name of attorney

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26701 Telegraph Road
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Southfield, Michigan 48034-2442

Customer No. 26922

Atty. docket No. IN-5574

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Serial No. 09/065,705

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2003

Applicant(s) McClanahan

Filing Date 11/12/2002

TC 1700

LIST OF ART CITED BY APPLICANT
(Use sever of sheets if necessary)

U.S. PATENT DOCUMENTS

Examiner initial		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5,798,943	8/25/98	Cook et al.	364	526	3/28/97
	AB	6,088,475	7/11/00	Nagashima et al	382	162	12/8/94
	AC						

FOREIGN PATENT DOCUMENTS

Examiner initial		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AD	WO 99/01982	14.01.99	WO	H04N	1/60	X	
	AE	WO 00/65847	02.11.00	WO	H04N	9/64	X	
	AF	WO 94/14039	23.06.94	WO	G01J	3/46		X
	AG	WO 00/45141	03.08.00	WO	G01J	3/46	X	
	AH	WO 98/12520	26.03.98	WO	G01J	3/00	X	
	AI	GB 1 056 358	18.10.63	GB	D06p		X	
	AJ	EP 0 484 564	05.11.90	EP	G01J	3/46	X	
	AK							
	AL							
	AM							
	AN							
	AO							
	AP							

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	AV	English Language Translation on front page of the International Publication for WO 94/14039
	W	
	AX	
	AY	
	AZ	

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

*Applicant has modified this form by deleting the word "prior".

Atty. docket No. IN-5574

Serial No. 09/065,705

Applicant(s) McClanahan

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Group

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(Use sever of sheets if necessary)

U.S. PATENT DOCUMENTS

Examiner initial		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5,410,637	4/25/95	Kern et al.	395	61	6/18/92
	AB	5,579,031	11/26/96	Liang	345	154	2/14/95
	AC	5,680,333	10/21/97	Jansson	364	578	9/28/95
	AD	5,729,360	3/17/98	Kita et al.	358	500	1/13/95
	AE	5,761,070	6/2/98	Connors et al.	364	478.11	11/2/95
	AF	5,774,230	6/30/98	Goto	358	298	5/23/96
	AG	5,899,605	5/4/99	Caruthers, Jr. et al.	399	223	6/8/98
	AH	5,929,906	7/27/99	Arai et al.	348	223	10/24/96
	AI	5,687,000	11/11/97	Nakaoka	358	296	8/29/95
	AJ	5,771,311	6/23/98	Arai	382	162	12/27/95
	AK	5,864,834	1/26/99	Arai	706	16	3/24/95
	AL	5,546,195	8/13/96	Arai	358	518	8/11/94
	AM	5,559,604	9/24/96	Arai	356	402	9/27/94

FOREIGN PATENT DOCUMENTS

Examiner initial		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO	
	AN							
	AO							
	AP							

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	AT	BASF Corporation, et al., USSN 09/874,698, filed June 5, 2001, entitled "System and method for converting a color formula using an artificial intelligence based conversion model", pages 1-19, and abstract
	AU	BASF Corporation, et al., USSN 09/874,700, filed June 5, 2001, entitled "Color management and solution distribution system and method", pages 1-25, and abstract
	AV	BASF Corporation, et al., USSN 09/874,699, filed June 5, 2001, entitled "System and method for determining acceptability of proposed color solution using an artificial intelligence based tolerance model, pages 1- 24 , and abstract
	W	BASF Corporation, et al., USSN 874,697, filed June 5, 2001, entitled "System and method for organizing color values using an artificial intelligence based cluster model", pages 1- 33, and abstract
	AX	BASF Corporation, et al., USSN 10/206,556, filed July 6, 2002, entitled "Color management and solution distribution system and method", pages 1-29 , and abstract
	AY	BASF Corporation, et al., USSN 09/778,819, filed February 7, 2001, entitled Computer-implemented neural network color matching formulation system", pages 1-22, 8 pages of drawing, and abstract
	AZ	BASF Corporation, et al., USSN 09/779,101, filed February 7, 2001, entitled "Computer-implemented neural network color matching formulation application", pages 1-15 , and abstract

EXAMINER

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